

Part I. Case examples showing contribution of genome editing

11:50-12:20 Targeted breeding applications of  
CRISPR-Cas technology

Dr. Neal Gutterson, Vice President, Research and  
Development, DuPont Pioneer, USA

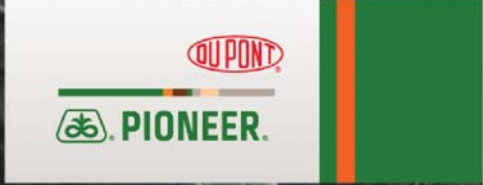
## Targeted breeding applications of CRISPR-Cas technology

Neal Gutterson, Vice President, Research & Development, DuPont Pioneer

### Abstract:

CRISPR-Cas as an advanced plant breeding tool is a more efficient way to improve plants and help farmers produce more and better food, with fewer resources. The superior properties of CRISPR-Cas allow scientists to develop innovative and sustainable seed products for growers similar to those realized through conventional plant breeding, but with even greater efficiency, accuracy and quality. DuPont Pioneer is leading the application of this tool to develop customized agriculture solutions.

In this talk, Pioneer's next generation of waxy maize hybrids as the first agricultural product of CRISPR-Cas scheduled for commercialization will be discussed. In addition, potential product targets of this promising technology will be explored, including rice improvement based on a rich understanding of the rice genome. Approaches to fostering social license and developing an open innovation model for CRISPR-Cas will also be reviewed.











# Targeted Breeding Applications of CRISPR-Cas technology

Dr. Neal Gutterson, Vice President, R&D

## Abundant Natural Variation



# DuPont Ag's key technology platforms

<b>CROP PRODUCTIVITY</b>	
BREEDING	
<b>CRISPR</b>	
BIOTECH	
CROP PROTECTION	
SEED APPLIED TECHNOLOGY	
DIGITAL SOLUTIONS	
AGRONOMIC SOLUTIONS	

*"We put a great emphasis in managing our grain on a much more micro level – so looking not on a field by field basis but more on an acre by acre and even in some cases on a sub-acre level."*

- DuPont Customer

**Only DuPont can collaborate with growers acre by acre to answer these demands.** Everything we do is about solving one problem – a complex problem that has grown and changed with the needs of our growing world –

**CROP PRODUCTIVITY**



## Required Competencies for Targeted Breeding

### Understanding Elite Genetics

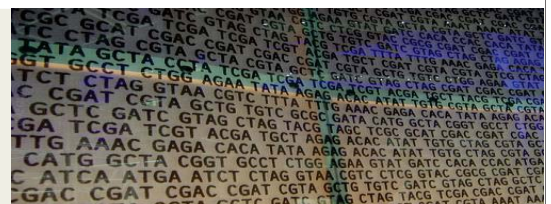
- High quality DNA sequencing
- Informatics tools and infrastructure

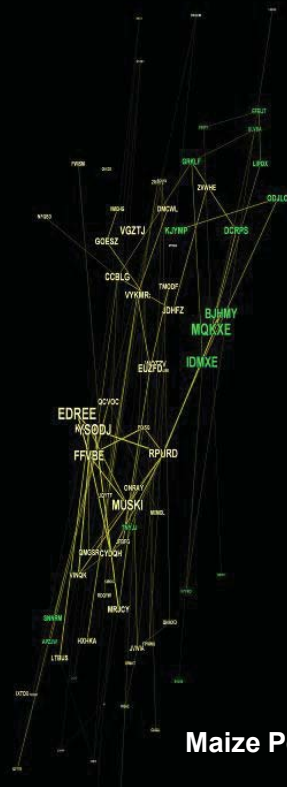
### Delivery into Elite Genetics

- Ability to directly introduce targeted improvements to already high-quality plants

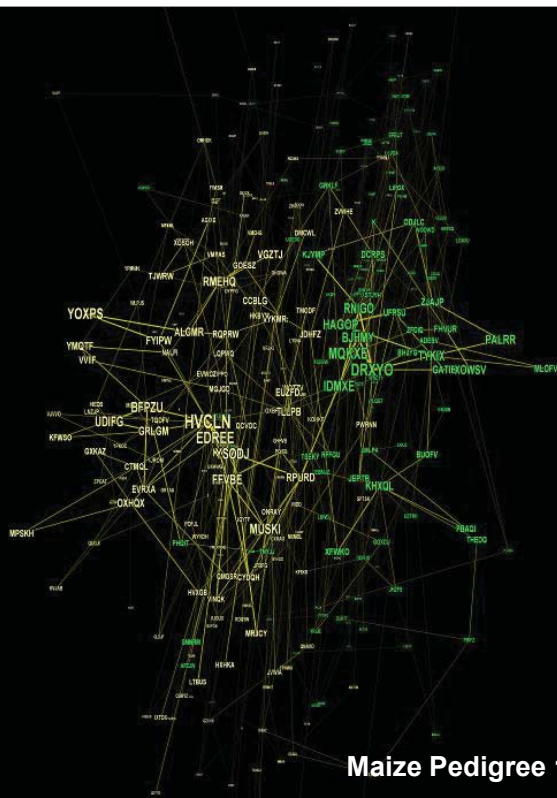
### Advancing CRISPR-Cas Technology

- Tool with superior activity and targeting specificity
- Incorporating in-house & collaborators' expertise





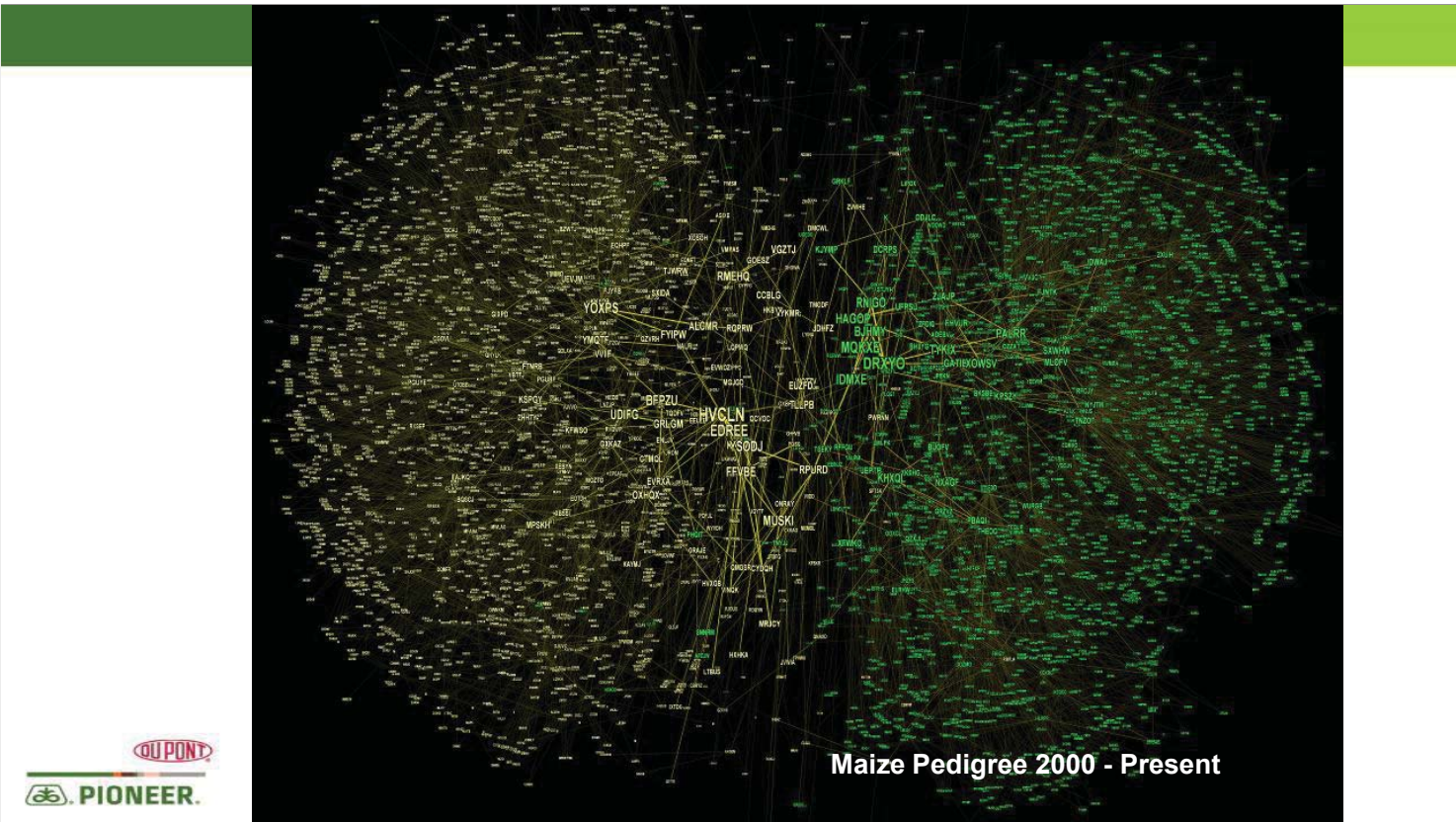
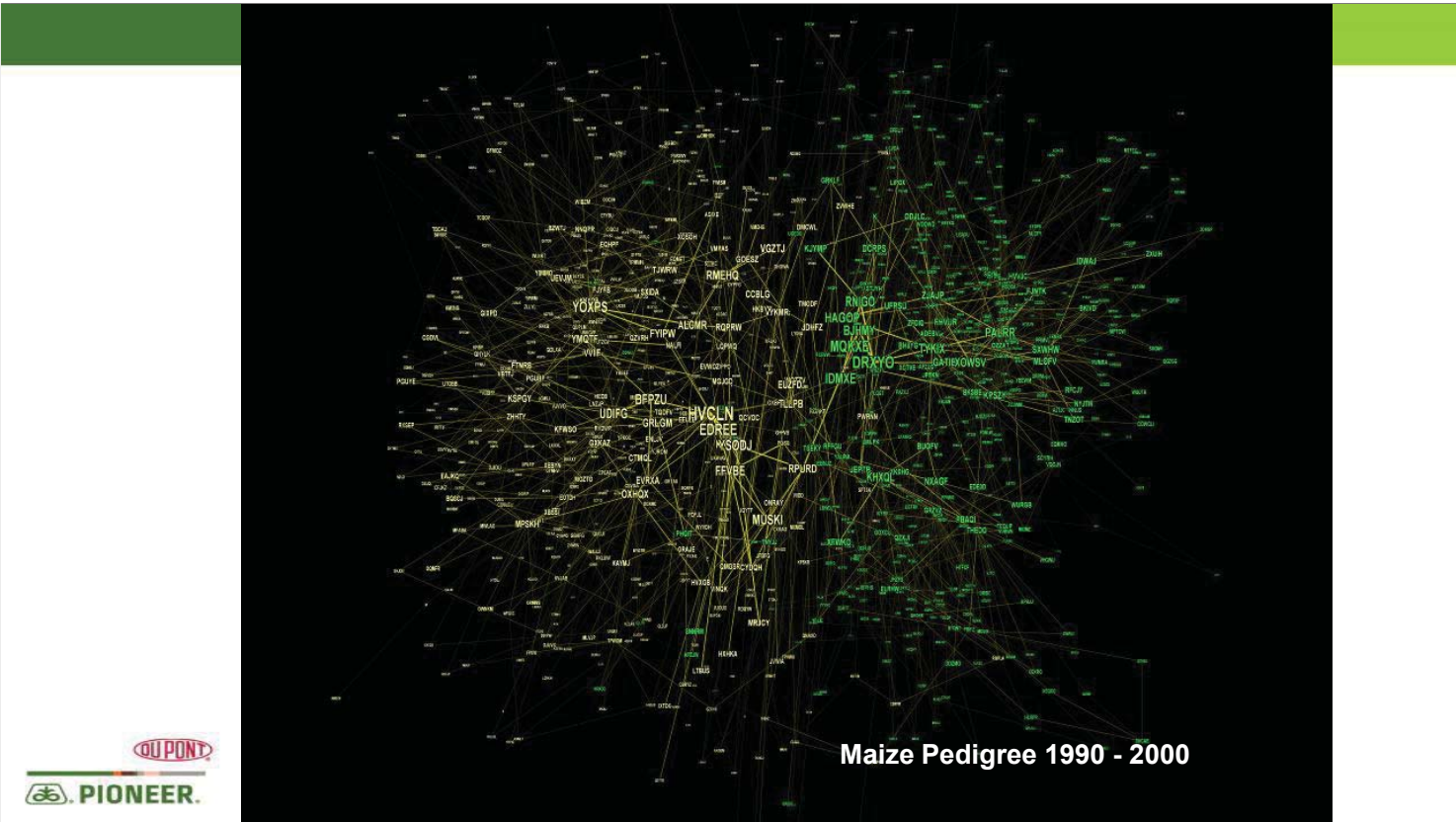
Maize Pedigree 1920 - 1970



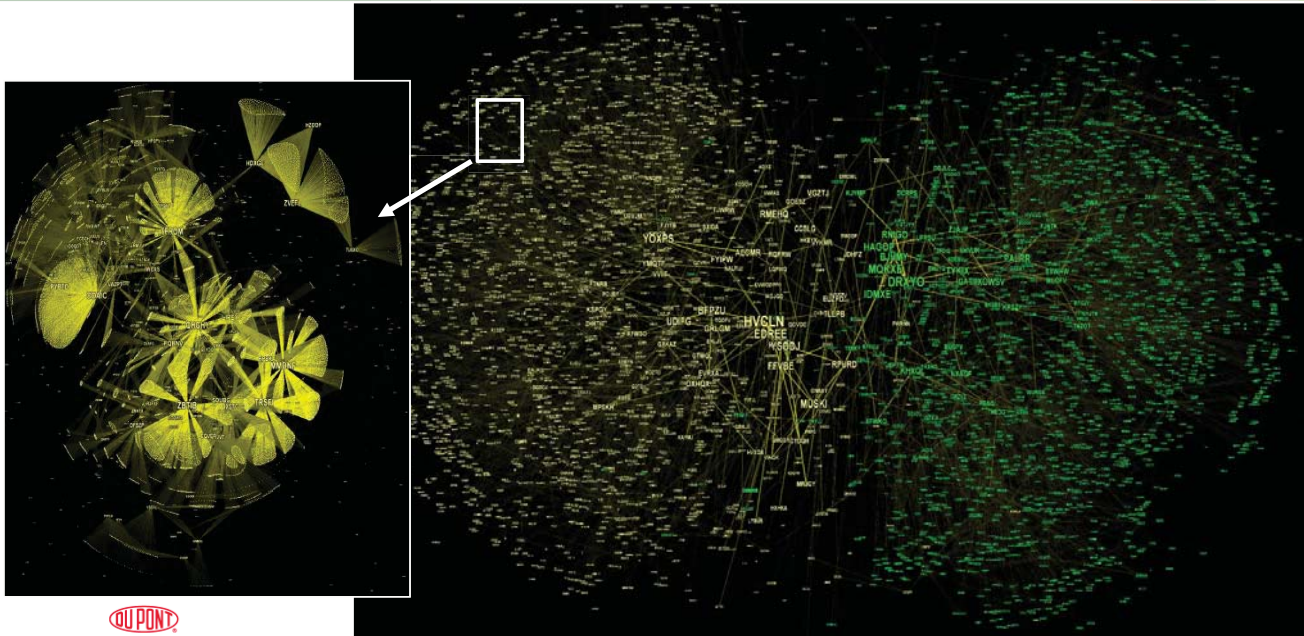
Maize Pedigree 1970 - 1990







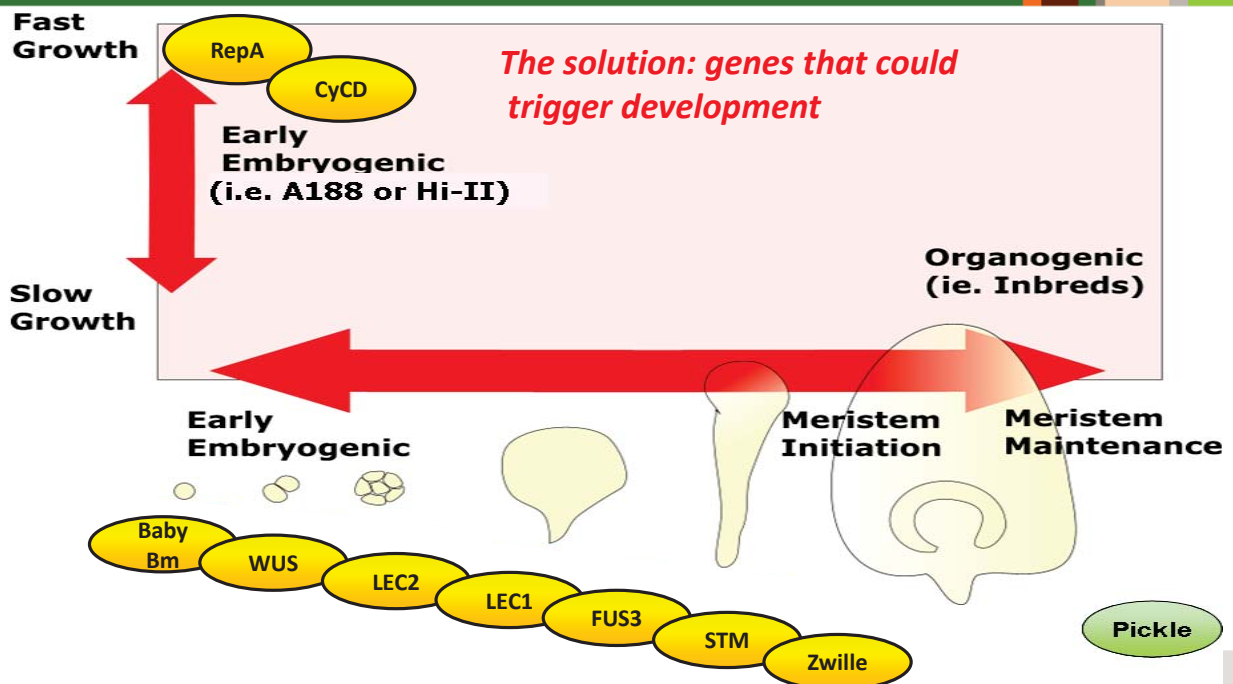
# We have deep genomic understanding of products



DUPONT

PIONEER

# Creating alleles in many inbreds: the problem

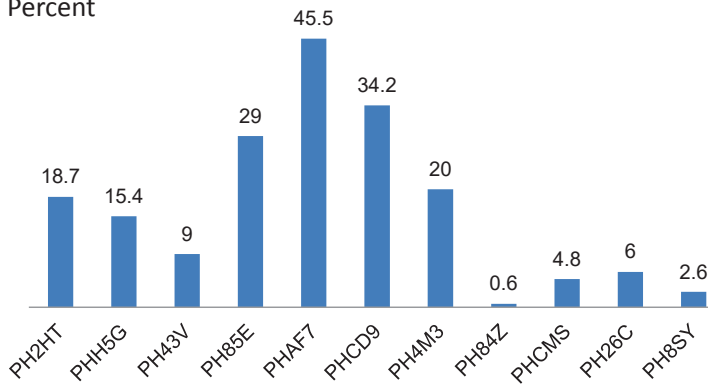


PIO



# Germplasm-independent transformation

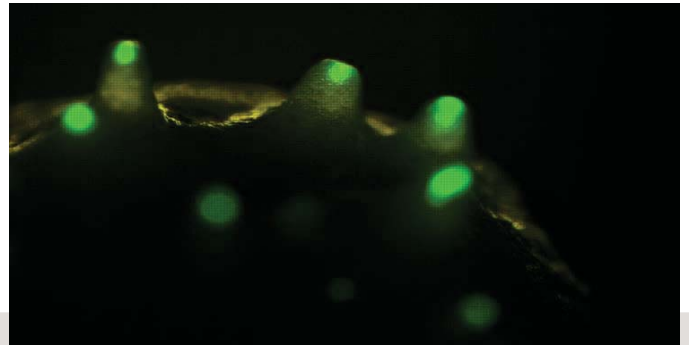
## Transformation frequency Percent



THE PLANT CELL  
AMERICAN SOCIETY OF PLANT BIOLOGISTS

### Morphogenic Regulators *Baby boom* and *Wuschel* Improve Monocot Transformation<sup>2015</sup>

Keith Lowe,<sup>a</sup> Emily Wu,<sup>a</sup> Ning Wang,<sup>a</sup> George Hoerster,<sup>a</sup> Craig Hastings,<sup>a</sup> Myeong-Je Cho,<sup>b</sup> Chris Scelongo,<sup>a</sup> Brian Lenderts,<sup>a</sup> Mark Chamberlin,<sup>a</sup> Josh Cushatt,<sup>a</sup> Lijuan Wang,<sup>a</sup> Larisa Ryan,<sup>a</sup> Tanveer Khan,<sup>c</sup> Julia Chow-Yu,<sup>a</sup> Wei Hua,<sup>a</sup> Maryanne Yu,<sup>b</sup> Jenny Banh,<sup>b</sup> Zhongmeng Bao,<sup>a</sup> Kent Brink,<sup>d</sup> Elizabeth Igo,<sup>d</sup> Bhojaraja Rudrappa,<sup>e</sup> PM Shamseer,<sup>a</sup> Wes Bruce,<sup>f</sup> Lisa Newman,<sup>a</sup> Bo Shen,<sup>a</sup> Peizhong Zheng,<sup>g</sup> Dennis Bidney,<sup>a</sup> Carl Falco,<sup>a</sup> Jim Register,<sup>a</sup> Zuo-Yu Zhao,<sup>a</sup> Deping Xu,<sup>a</sup> Todd Jones,<sup>a</sup> and William Gordon-Kamm<sup>h,1</sup>



11

# Pioneer will deploy targeted breeding broadly

## NEAR-TERM PRODUCTS TO MARKET

### WAXY CORN HYBRIDS

- Foundational for future product development
- First commercial agricultural product
- To market by end of current decade

### NORTHERN CORN LEAF BLIGHT

- Devastating global disease with potential to cause \$1.6B\* annual losses in North America alone
- Leveraging germplasm base
- Utilizing native genes, genomic selection, and genome editing
- Providing sustainable grower solutions

## BROAD AGRICULTURAL APPLICATIONS OF CRISPR-CAS

	DISEASE RESISTANCE	YIELD & YIELD STABILITY	DROUGHT TOLERANCE	OUTPUT TRAITS	MATURITY
CORN	●	●	●		●
SOY	●			●	●
OSR	●	●		●	
RICE	●	●	●		●
WHEAT	●	●			
SUNFLOWER	●			●	



NORTHERN LEAF BLIGHT READINESS:  
First half of next decade



Products, benefits and concepts described herein will not be offered for sale or distribution until completion of field testing and applicable regulatory reviews.  
\*Source: Internal analysis and USDA.

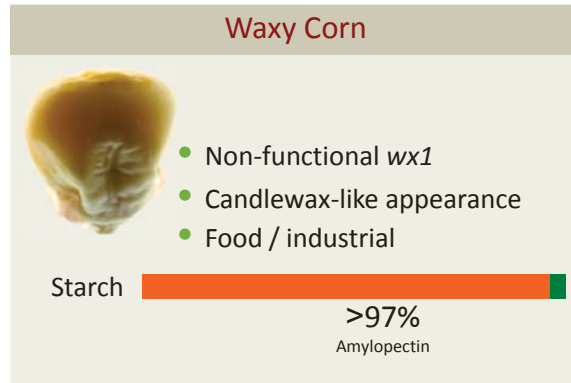
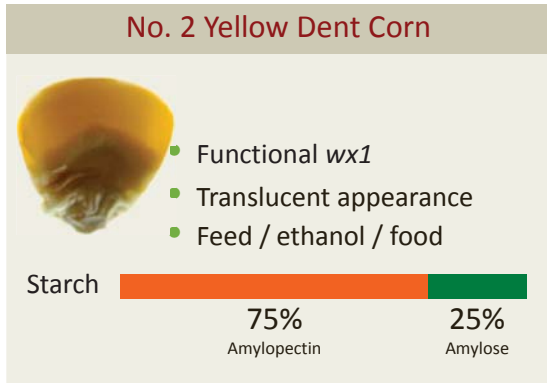
CONFIDENTIAL

12

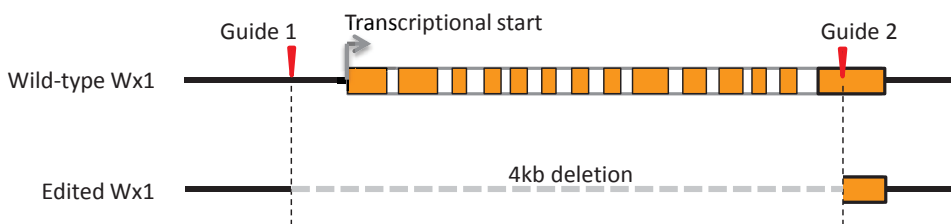


# Next Generation Waxy Corn

## Pioneer's first commercial product through targeted breeding



# Waxy corn – edited allele strategy



### Summary:

- Created alleles directly in multiple commercial inbreds
- Tested in greenhouse and field under standard testing strategy for late stage hybrids
- Sep 2015 initiated transformation of new elite inbreds "on demand"
- Waxy deletions recovered in all 11 inbred lines

**Non-Stiff Stalk**

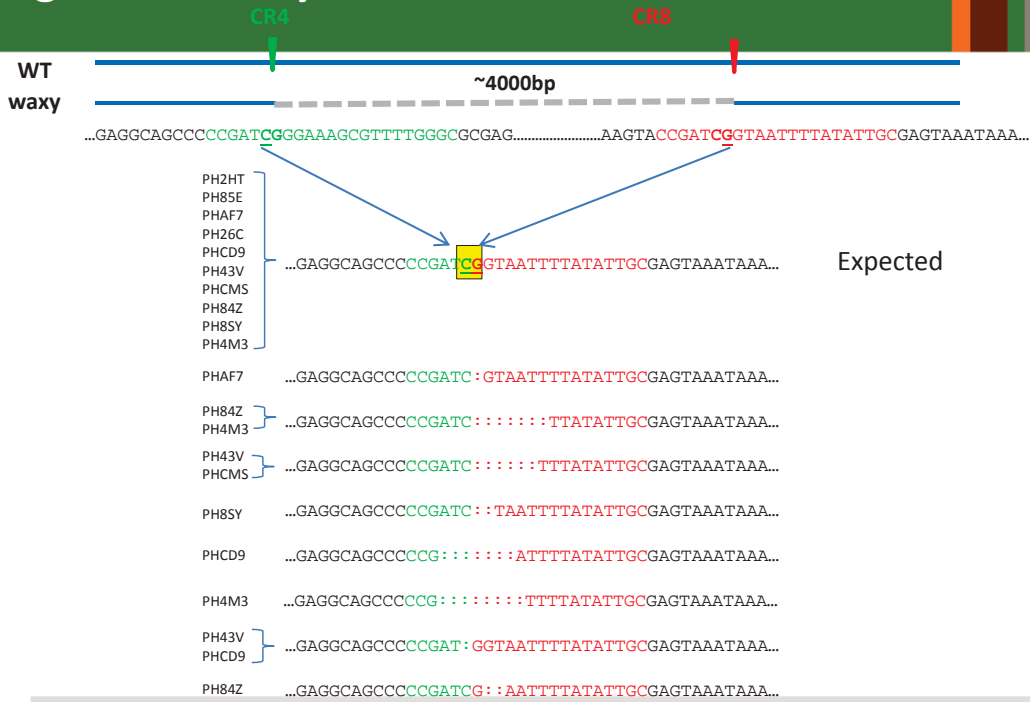
	PH2HT	PHCMS	PH26C	PH8SY	PH84Z
PH4M3	Commercial hybrid	Pre-Commercial hybrid	Pre-Commercial hybrid	Pre-Commercial hybrid	Pre-Commercial hybrid
PH85E	Commercial hybrid	Pre-Commercial hybrid	Pre-Commercial hybrid	Commercial hybrid	Commercial hybrid
PHCD9	Commercial hybrid	Pre-Commercial hybrid	Pre-Commercial hybrid	Pre-Commercial hybrid	Pre-Commercial hybrid
PHAF7	Commercial hybrid	Pre-Commercial hybrid	Pre-Commercial hybrid	Pre-Commercial hybrid	Pre-Commercial hybrid
PH43V	Commercial hybrid	Pre-Commercial hybrid	Commercial hybrid	Commercial hybrid	Commercial hybrid

**Stiff Stalk**

Commercial hybrid (Green)  
Pre-Commercial hybrid (Grey)

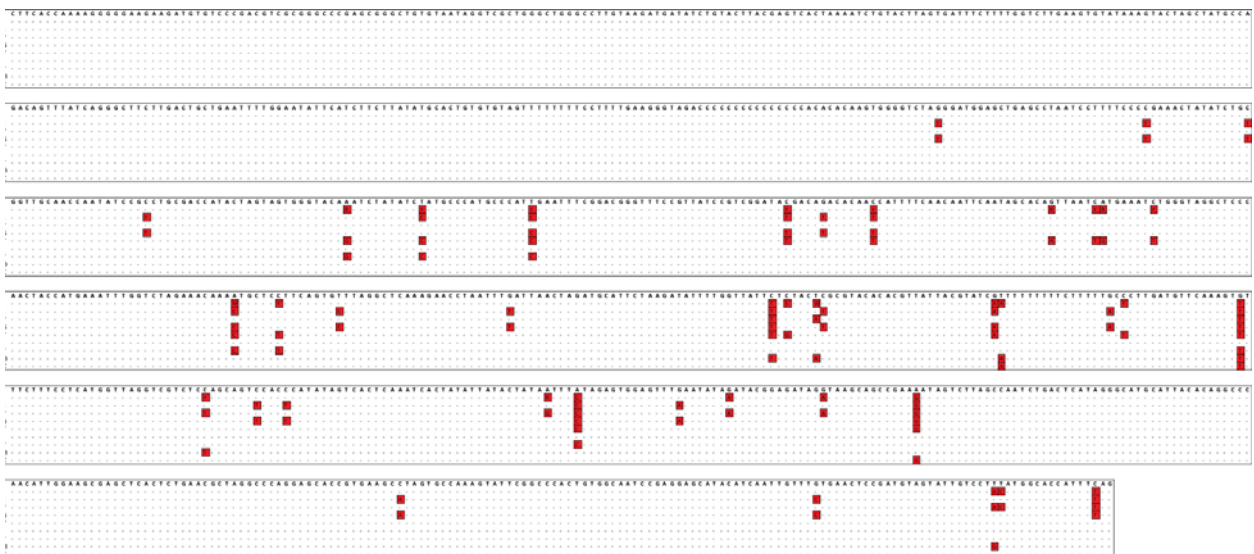


# Identifying edited waxy alleles

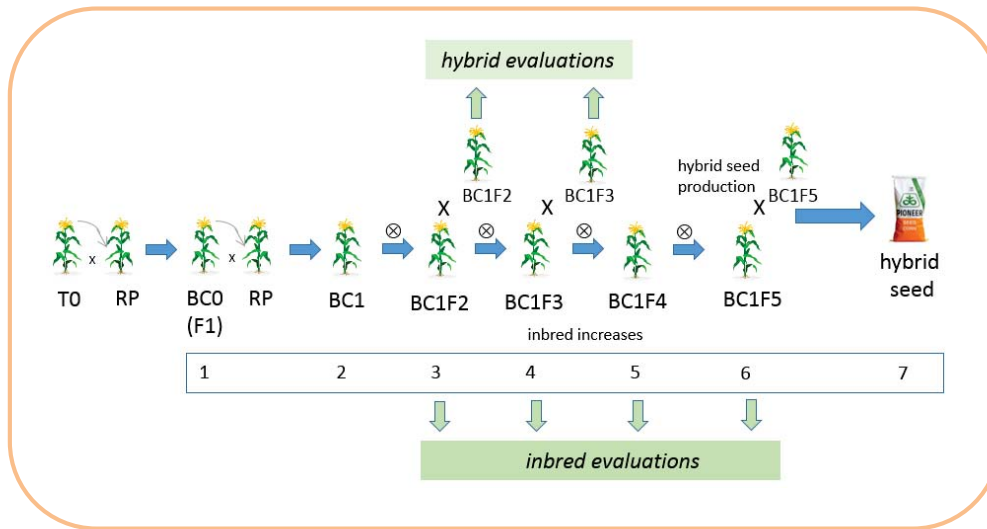


CONFIDENTIAL

# Editing context: native variation at Waxy locus



# Development and evaluation of Next Generation Waxy Corn



## INBREDS

> 30 characteristics

## HYBRIDS

> 40 characteristics

- Vegetative characteristics
- Ear photometry
- Yield
- Disease resistance
- Certification traits

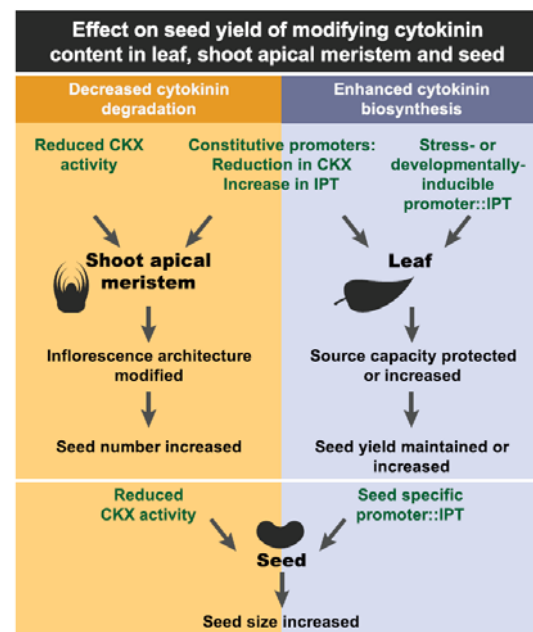
Several years of comprehensive multi-location field evaluations according to common breeding practices



# CRISPR-Cas for improved performance in rice products: a yield example

## CKX2 Functionality Impacts Yield and Agronomics

- Cytokinin oxidase/dehydrogenase2 (CKX2) plays an important role in cytokinin catabolism in plants
- There are 11 CKX genes in rice
- CKX2 plays a critical role in cellular cytokinin level in the inflorescence
- **Gn1a** is a major QTL for grain yield in rice and CKX2 is the causal gene
- Natural variation at the CKX2 allele correlates to grain yield per panicle with reduced plant height impacts

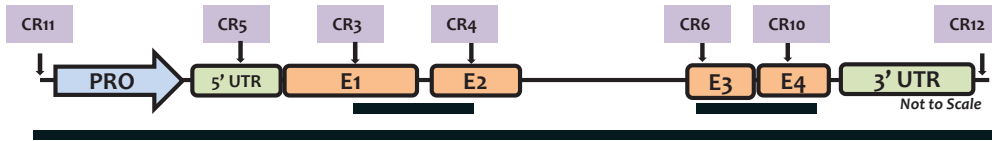


Jameson and song, 2016



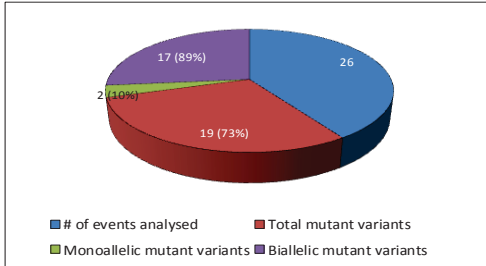


# CRISPR-Cas allele creation

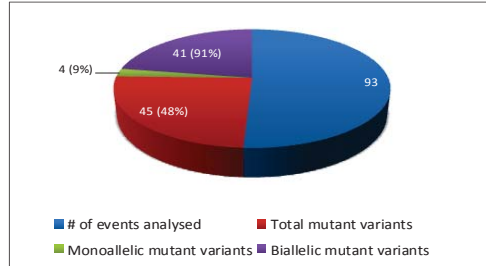


Schematic: Multiple guides in CKX2 gene

Variants are screened by PCR first and selected variants are confirmed by NGS



CR4- Mutation frequency (NGS confirmation)

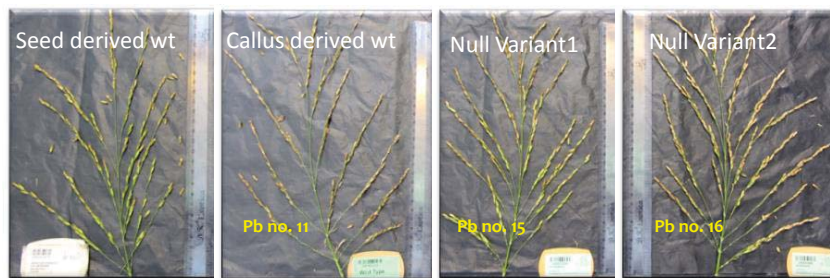
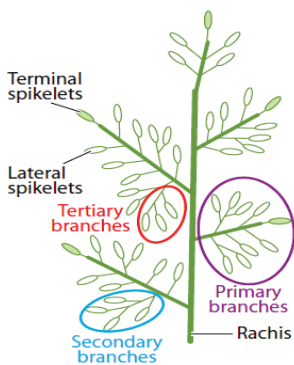


CR3-CR4- Mutation frequency (PCR confirmation)

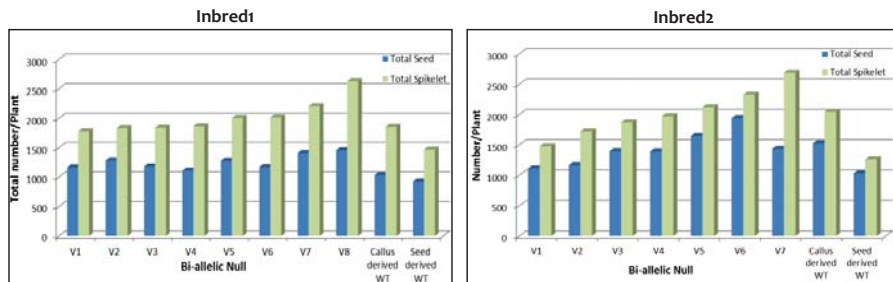


High frequency of variations obtained across the different edit types

# CKX2 edits provide expected benefits

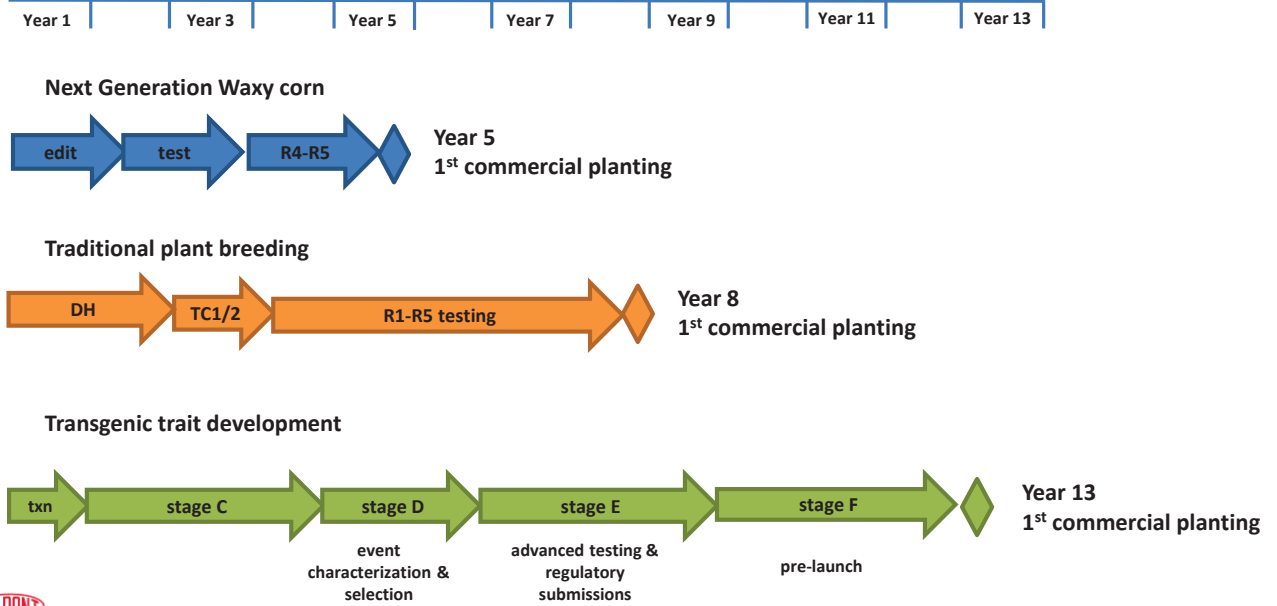


Main panicle showing number of primary branches (Pb)



CKX2 null variants (T0) show an increase in spikelet and seed per plant

# Edited variety development can be very efficient



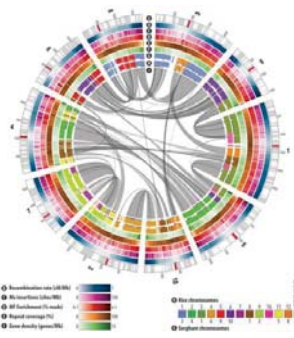
# Editing Context: Native Variation in Crop Genomes

## Tomato fruit morphology



- SUN genomic transposition
  - ↑ gene expression
  - Elongated fruit
- Xiao et al, Science 2008, 319:15277

## Maize Genome Diversity



- B73 vs Mo17:
- 100's of CNV
  - 1,000's PAV
  - In/Dels & SNPs ↑
- Schnable et al, Science 2009, 326:1112

## SNP rates



- ~7 SNPs per billion bp per generation
  - 1 Ha of soy has >1.8 million novel SNPs
- Parrot et al, Nat Biot, 2011, 30: 825

Naturally occurring genome editing processes are fundamental to crop development and the successful development of high performing elite genetics.



## Enabling a wide array of applications

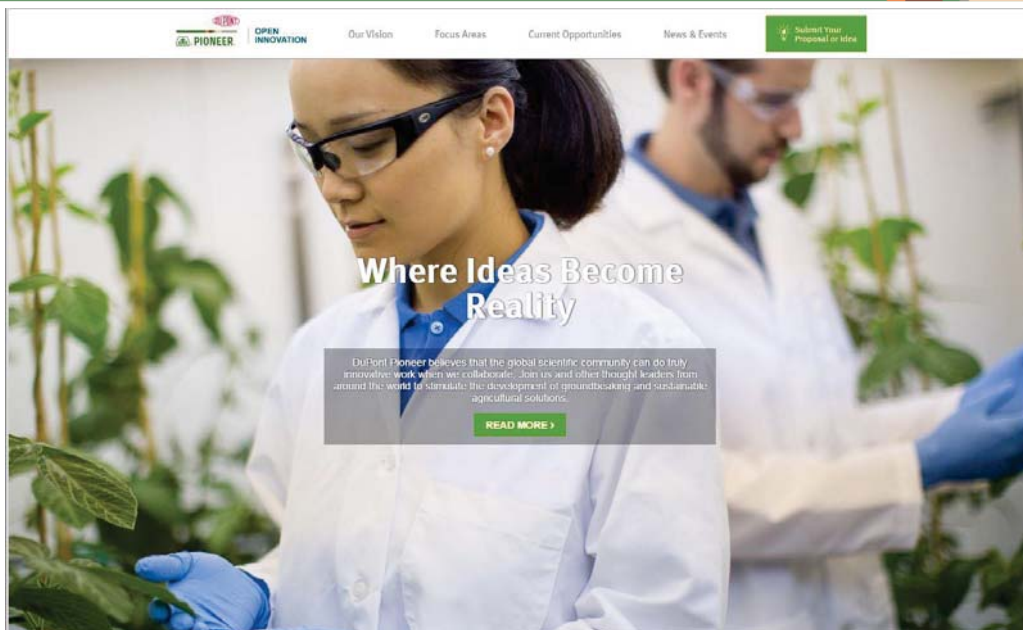


Developing solutions to the toughest agricultural challenges



23

## Open Innovation



[openinnovation.pioneer.com](http://openinnovation.pioneer.com)

24



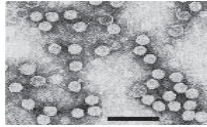
# CIMMYT & DuPont Pioneer Public-Private Partnership

## Sugarcane Mosaic Virus (SCMV)



## Maize chlorotic mottle viruses (MCMV)

new to Africa, more severe than SCMV



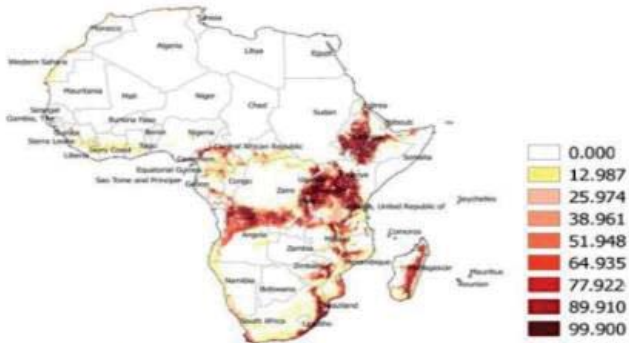
## Maize Lethal Necrosis Disease

### Range of symptoms

- Vascular discoloration
- Even "clean" plants may show:
  - Sterile tassels
  - No ears
  - High cob rot/ predisposed to other challenges

- MLN first observed in Kenya in 2011; spread to neighboring countries in less than five years
- Average reduction in maize production: 3% in drylands; 32% in moist environments; yield reduction at individual farms can be as high as 90% (*de Groot et al., CIMMYT*)
- In Kenya, MLN affects nearly a quarter of total maize production; yearly losses ~\$US110 million (*Biosciences for Framing In Africa, 2016*)

Projected Suitability of MCMV and potential risk of MLN across Africa by 2020, using Agro-ecological Niche Modelling



Source: Melanie Edwards, BFS/ARP, USAID  
\*Darker colors (higher Index) indicates higher suitability and risk for MLND

CONFIDENTIAL

25

# Listening to Full Range of Stakeholders

- Recognize that all new technologies require a "social license"
- Engaging with traditional and non-traditional
- Applying insights to our own plans as well as sharing with others in the industry
- On-going discussion



26



CONFIDENTIAL 27

## COI Disclosure Information

### Neal Gutterson

---

**I have the following financial relationships to disclose.**

**Leadership position/advisory role for:** DuPont Pioneer and CIMMYT Board of Trustees

**Patents and royalties from:** Monsanto and Mendel Biotechnology



28